

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number 10599839

Filing Date 2006-10-12

First Named Inventor Marc Chapdelaine

Art Unit 1614

Examiner Name

Attorney Docket Number 101430-1P US

U.S. PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5491148		1996-02-13	Jacob Berger, et al.	

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U.S. PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS

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	1	2004016608 A1	WO		2004-02-26	Neurosearch A/S		<input type="checkbox"/>
	2	0244176 A1	WO		2002-06-06	Neurosearch A/S		<input type="checkbox"/>
	3	0220521 A1	WO		2002-03-14	Merck Patent GMBH		<input type="checkbox"/>

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4	9323395 A1	WO		1993-11-25	Kabi Pharmacia AB	<input type="checkbox"/>
5	03004493 A1	WO		2003-01-16	Neurosearch A/S	<input type="checkbox"/>
6	1018512 A1	EP		2000-07-12	Rotta Research Laboratorium S.P.A.	<input type="checkbox"/>
7	02083640 A1	WO		2002-10-24	Merck Patent GMBH	<input type="checkbox"/>

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Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1	Capelli, Andrea et al, "Novel Potent 5-HT ₃ Receptor Ligands Based . . . Modalities", Bioorganic & Medicinal Chemistry, March 2002, Vol. 10, Issue 3, Pages 779-801	<input type="checkbox"/>

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

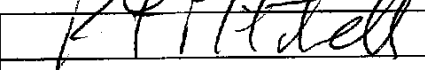
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- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☒ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	Feb 7, 2007
Name/Print	Kenneth F. Mitchell	Registration Number	42,007

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**